





PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Kleinschmidt, et al.

U.S. Patent Application No.:

09/830,663

International Application No.:

PCT/DE99/03517

Priority Dates Claimed:

October 29, 1999 and October 29, 1998 (German

Patent Application No. 198 49 643.5)

Title:

ANTIBODIES BINDING TO THE AAV

CAPSID, ANTIBODIES MODIFYING

CYTOTROPISM, METHOD FOR TARGETED

GENE TRANSFER

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, and First Class Mailed under the provisions of 37 CFR 1.8.

Signature of Person Signing

November 14, 2001 Date of Mailing

PRELIMINARY AMENDMENT IN U.S. PATENT APPLICATION NO. 09/830,663

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the above-identified national phase patent application, please amend the application, as follows: